



02-27-07

(FW)

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/684,899
	Filing Date	10/09/2003
	First Named Inventor	Brent E. Little
	Art Unit	2874
	Examiner Name	Tina M. S. Wong
Total Number of Pages in This Submission		3568.006

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation of POA, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): SEE BELOW
<input type="checkbox"/> Remarks 1. Associate Power of Attorney (2 pages); 2. Transmittal Form (1 page); and 3. Postcard.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	DUNLAP, CODDING & ROGERS, P.C.		
Signature	<i>Marc Brockhaus</i>		
Printed name	Marc A. Brockhaus		
Date	2-26-2007	Reg. No.	40,923

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: *** SENT BY EXPRESS MAIL NO. EV616014162US***			
Signature	<i>Marc Brockhaus</i>		
Typed or printed name	Marc A. Brockhaus		Date 2-26-2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

EXPRESS MAIL NO.: EV 616014162 US
DATE MAILED: February 26, 2007



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Brent E. Little** Confirmation No.: 4862
Application No. : **10/684,899**
Filed : **10/09/2003**
TC/A.U. : **2874**
Examiner : **Tina M. S. Wong**
Title : **INTEGRATED OPTICAL MODE SHAPE TRANSFORMER
AND METHOD OF FABRICATION**

**Mail Stop Post Issue
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

ASSOCIATE POWER OF ATTORNEY(S) (37 CFR 1.34)

Please recognize as Associate Attorney(s) in this case:

Mr. W. Douglas Carothers, Jr.
Infinera Corporation
1322 Bordaux Drive
Sunnydale, CA 94089
Registration No. 22,024
Telephone No.: (408) 572-5200
Telefax No.: (408) 572-5343

Mr. Christopher R. Novak
Infinera Corporation
1322 Bordaux Drive
Sunnydale, CA 94089-1005
Registration No. 42,041
Telephone No.: (408) 572-5200
Telefax No.: (408) 572-5343

Marc Brockhaus

Marc A. Brockhaus, Reg. No. 40,923
DUNLAP, CODDING & ROGERS, P.C.
P.O. Box 16370
Oklahoma City, Oklahoma 73113
(405) 607-8600
(405) 607-8686

Attorney for Applicant